



**INVENTORSHIP DECLARATION BY JOINT INVENTORS**

I HEREBY DECLARE THAT:

My residence, mailing address, and citizenship are stated next to my name in PART A on hereof.

I believe I am the original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

**HIGH LEVEL CYTOKINE PRODUCTION WITH ENHANCED CELL VIABILITY**

the specification of which:

- ☐ is attached hereto.
- ☒ was filed on January 26, 2001 as Application Serial No. 09/772,109.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed in PART B hereof and have also identified in PART B on hereof any foreign application for patent or inventor's certificate having a filing date before that of the application of which priority is claimed.

I hereby claim the benefit under Title 35, United States Code §119(e) and §120, of any United States application(s) listed in PART C hereof and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application and any national or PCT international filing date of this application.

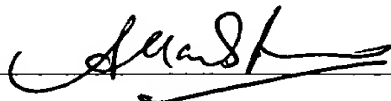
I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please direct all telephone calls to Linda R. Judge at (650) 324-0880. Address all correspondence to:

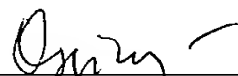
**Iota Pi Law Group  
P.O. Box 60850  
Palo Alto, CA 94306-0850  
Customer No. 22918**

**INVENTORSHIP DECLARATION BY JOINT INVENTORS****PART A: INVENTOR INFORMATION AND SIGNATURE**Full name of **FIRST** inventor: Allan S. LauCitizenship: CanadaMailing Address: 176 Owl Court  
Fremont, CA 94539


Residence (if different):

Inventor's Signature: Date: April 18, 2001Full name of **SECOND** inventor: Natalya OssinaCitizenship: RussiaMailing Address: 555 Pierce St., #1041  
Albany, CA 94706

Residence (if different):

Inventor's Signature: Date: 03.22.01Full name of **THIRD** inventor: Kenneth HoytCitizenship: U.S.A.Mailing Address: 516 Canal Street  
San Rafael, CA 94901

Residence (if different):

Inventor's Signature: Date: 3/22/01**INVENTORSHIP DECLARATION BY JOINT INVENTORS****PART B: CLAIM TO PRIORITY OF FOREIGN APPLICATION(S) UNDER 35 U.S.C. 119(a-d)**

Country

App. No.

Filing Date

**PART C: CLAIM TO PRIORITY OF U.S. PROVISIONAL AND NONPROVISIONAL APPLICATION(S) UNDER 35 U.S.C. 119(e) AND 120**

Serial No.

Filing Date

Status

09/657,88109/08/00☐

Patented

☒

Pending

☐

Abandoned

☐

Patented

☐

Pending

☒

Abandoned

60/152,85409/08/99

OIPF  
MAY 14 2001  
PATENT & TRADEMARK OFFICE

Form 1595  
1-9-92 (modified)

RECORDATION FORM COVER SHEET

U.S. Department of Commerce  
Patent and Trademark Office

PATENTS ONLY

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):  
Allan S. Lau  
Natalya Ossina  
Kenneth Hoyt  
Add'l names of conveying parties attached?  
☐ Yes ☒ No

2. Name/address of receiving party(ies):  
GeneTrol Biotherapeutics, Inc.

2220 Livingston St., Suite 201  
Oakland, CA 94606

COPY

3. Nature of conveyance: ☒ Assignment  
☐ Merger ☐ Security Agreement ☐ Other  
☐ Change of Name ☐ Reassignment

4. Date of execution: March 26, 2001  
and March 22, 2001, respectively.

Add'l names of receiving parties attached? ☐ Yes ☒ No

5. Application number(s) and/or patent number(s):

If this document is being filed with a new application, the date of signature by the first named inventor was: \_\_\_\_\_.

A. Patent Application No.(s)  
09/772,109 filed 26 January 2001

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

6. Name and address of party to whom correspondence concerning document should be mailed:

Iota Pi Law Group  
P.O. Box 60850  
Palo Alto, CA 94306  
(650) 324-0880  
Customer No. 22918

7. Total No. of applications and patents involved:  
one (1)

8. Total fee (37 CFR \$3.41): \$40.00  
☒ Enclosed is a check for \$40.00

Total number of pages, including cover sheet, attachments and document: 5

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10. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Linda R. Judge  
Name of Person Signing

Linda R. Judge  
Signature

May 10, 2001  
Date

COPY